DT07 Rec'd PCT/PTO 2 1 JUL 2004 FORM PTO-1390 (Modified) (REV. 11-2000) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 1011-0057 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATIONNO. (IF KNOWN, SEE 37 CFR . DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 26 JAN 02 PRIORITY DATE GB/2003/000273 24 January 2003 TITLE OF INVENTION CATERPILLAR TRACTION APPARATUS APPLICANT(S) FOR DO/EO/US JENKINS, Peter David and JENKINS, Daniel Owen Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. \boxtimes A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) is attached hereto (required only if not communicated by the International Bureau). b. 🖾 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🔲 is attached hereto. b. □ has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). П b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. \boxtimes A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. \boxtimes A copy of the International Search Report (PCT/ISA/210). Items 13 to 20 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A change of power of attorney and/or address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). \boxtimes 22. Express Mail Label No. EV 424620490 US

Other items or information:

23.

U.S. APPLICATIONNO. (IF KNOWN, SEE 37 CFR INTERNATIONAL APPLICATIONNO.			ATTORNEY'S DOCKET NUMBER			
10/502230 GB/2003/000273		3	1011-0057			
24. The following fees are submitted:.				CALCULATION	S PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO						
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00						
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)					•	
	ENTER APPROPRIA	\$1,080.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	23 - 20 =	3	x \$18.00	\$54.00		
Independent		0	x \$86.00	\$0.00		
Multiple Dependent Claims (check if applicable).				\$290.00		
TOTAL OF ABOVE CALCULATIONS =				\$1,424.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$712.00		
SUBTOTAL =				\$712.00		
Processing fee of \$130.00 for furnishing the English translation later than				\$0.00		
→ TOTAL NATIONAL FEE =				\$712.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				\$0.00		
TOTAL FEES ENCLOSED =				\$712.00		
			,	Amount to be: refunded	\$	
				charged	\$	
a. A check in the amount ofto cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of to cover the above fees.						
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1039						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
information should not be included on this form. Provide credit card information and authorization on P10-2036.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Edward M. Keating, Reg. No. 20,646 COOK, ALEX, McFARRON, MANZO SIGNATURE			SIGNATURE		ang	
CUMMINGS & MEHLER, LTD.			Edward M. k	ard M. Keating		
200 W. Adams Street Suite 2850			NAME			
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July 21, 2004 DATE						

Elizabeth C. Ortiz

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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